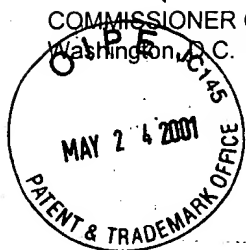


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FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 3

In the Application of James T. FULLER

Serial No.: 09/421,778

Art Unit: 1632

Filed: 19 October 1999

Examiner: Q. Nguyen

Title: MINIMAL PROMOTERS AND USES THEREOF

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
QN	AA-1	4,945,050	7/31/90	Sanford et al.	435	459	—
	AB-1	5,100,792	3/31/92	Sanford et al.	435	285.3	—
	AC-1	5,120,657	6/9/92	McCabe et al.	435	285.3	—
	AD-1	5,149,655	9/22/92	McCabe et al.	604	57	—
	AE-1	5,204,253	4/20/93	Sanford et al.	435	459	—
	AF-1	5,584,807	12/17/96	McCabe	604	71	—
	AG-1	5,589,466	12/31/96	Felgner et al.	514	44	—
	AH-1	5,630,796	5/20/97	Bellhouse et al.	604	578	—
	AI-1	5,865,796	2/2/99	McCabe	604	71	—
	AJ-1	6,168,587	1/2/01	Bellhouse et al.	604	522	—
QN	AK-1	6,194,389	2/27/01	Johnston et al.	514	44	—

Examiner:

Quang Nguyen

Date Considered:

07/11/01

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FORM PTO-1449 (Modified)
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Sheet 2 of 3

In the Application of James T. FULLER

Serial No.: 09/421,778

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Filed: 19 October 1999

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FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation	
							YES	NO
QW	AL-1	WO 89/01036	2/9/89	PCT				
QW	AM-1	WO 94/24263	10/27/94	PCT				
QW	AN-1	WO 96/12513	5/2/96	PCT				
QW	AO-1	WO 96/14855	5/23/96	PCT				
QW	AP-1	WO 96/20022	7/4/96	PCT				
QW	AQ-1	WO 96/31613	10/10/96	PCT				
QW	AR-1	WO 97/48485	12/24/97	PCT				
QW	AS-1	WO 98/10750	3/19/98	PCT				
QW	AT-1	WO 98/20041	5/14/98	PCT				
QW	AU-1	WO 98/37185	8/27/98	PCT				

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Sheet 3 of 3

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Title: MINIMAL PROMOTERS AND USES THEREOF

OTHER DOCUMENTS (including Author, Date, Journal, Volume, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
QW	AV-1	Fynan et al. (1993) <i>Proc. Natl. Acad. Sci. USA</i> <u>90</u> :11478-11482
1	AW-1	Fynan et al. (1995) <i>Int. J. Immunopharmacology</i> <u>17</u> :79-83
	AX-1	Pertmer et al. (1995) <i>Vaccine</i> <u>13</u> :1427-1430
	AY-1	Hormann et al. (1996) <i>Proc. Natl. Acad. Sci. USA</i> <u>93</u> :5185-5190
	AZ-1	Boehm Waltraud et al. (1996) <i>J. Immunological Methods</i> <u>193</u> :29-40
	AA-2	Johnston et al. (1997) <i>Vaccine</i> <u>15</u> :808-809
✓	AB-2	Swain et al. (1997) <i>Behring Institute</i> <u>98</u> :73-78
QW	AC-2	Mohammadi et al. (1998) <i>Gene Therapy</i> <u>5</u> :76-84

Examiner:

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